



COPY OF PAPERS  
ORIGINALLY FILED

see #3.  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: David J. Orser, et al.

Date: February 04, 2002

Serial No.: 09/995,108

Conf. No.: 9401

Filed: November 27, 2001

Group Art Unit: 2633

For: FIBER OPTIC TRANSCEIVER  
ARRAY AND FIBER OPTIC TRANS-  
CEIVER CHANNEL FOR SHORT WAVE  
FIBER OPTIC COMMUNICATIONS

Examiner: Unknown

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

LETTER

In response to the Notice To File Missing Parts of Application mailed 12/13/2001, enclosed herewith is a Declaration executed by the inventor, whose signature did not appear on the Declaration as originally filed.

Authorization is hereby given to charge any fees, including fees under 37 CFR 1.16(e), to Deposit Account 09-0465. A duplicate copy of this letter is enclosed along with a copy of the Notice.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on

February 04, 2002  
(Date of Deposit)

Leslie J. Payne

Respectfully submitted,

By:

Leslie J. Payne  
Attorney Reg. No.: 26,378  
IBM Corporation, Dept 917  
3605 Highway 52 North  
Rochester, MN 55901-7829  
Telephone: (507) 253-2555

Docket No.: ROC920010259US1  
Serial No.: 09/995,108

#3



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/995,108         | 11/27/2001          | Randolph B. Heineke   | ROC920010259US1        |

CONFIRMATION NO. 9401

## FORMALITIES LETTER



\*OC00000007191286\*

Leslie J. Payne  
IBM Corporation - Dept. 917  
3605 Highway 52 North  
Rochester, MN 55901

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 12/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Randolph B. Heineke*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DE/25/E002 VTRUEN01 00000113 090455 09955108

01 FEB 2002 130.00 Ch